

# Knot Theory from the Combinatorial Point of View

## Highlights of ideas/topics/results

Knot theory is essentially about the simplest non-trivial instance of the embedding problem.

$S^1 \hookrightarrow \mathbb{R}^2$  : Jordan curve theorem says all are isotopic (all bound disks)

$[0, 1] \hookrightarrow \mathbb{R}^n$  : draw embedding back along itself to (near) 0

$S^1 \hookrightarrow \mathbb{R}^3$  (or  $S^3$ ) : interesting things happen!

Avoid ‘wild’ embeddings: embedding is smooth or polygonal. Two knots are the ‘same’ if they are isotopic = homotopic through embeddings.

Every knot has (many) planar projections = 4-valent graph indicating which strand passes over/under the other at each vertex.

*Knot diagrammatics.* Reidemeister moves. Two diagrams of the same knot/link can be turned on into another by a finite sequence of three moves: R1 = remove a kink, R2 = remove a pair of cancelling crossings, R3 = pass a strand over/under a crossing.

As a result, knot theory can be treated as the study of properties of knot/link diagrams that remain invariant under Reidemeister moves.

*Crossing changes.* Given a knot/link diagram  $D$ , by changing at most half of the crossings (start at a point, and retrace the knot always going under what you have already drawn),  $D$  can be transformed into the diagram of an unknot/unlink.

Alternatively (no pun intended), we can change crossings in  $D$  so that the crossings alternate over/under. This is essentially because the dual of the underlying 4-valent graph can be 2-colored red/blue: looking out from a red vertex, change crossings in  $D$  so that the knot rises while moving right.

*Knot invariants.* Goal: construct functions  $I : \{\text{knots}\} \rightarrow \{\text{something}\}$  so that  $K_1 = K_2$  implies  $I(K_1) = I(K_2)$ . Then: if  $I(K_1) \neq I(K_2)$ , then  $K_1$  and  $K_2$  are different knots!

Lots of knot invariants can be defined via diagrammatics, often as a minimum:

crossing number =  $c(K)$  = minimum number of crossings over all diagrams of  $K$

unknotting number =  $u(K)$  = minimum number of crossing changes needed to change  $K$  to unknot

bridge number =  $br(K)$  = minimum number of maxima in a projection  $K \hookrightarrow \mathbb{R}^3 \rightarrow \mathbb{R}$

Basic idea: build values from knot diagrams that are invariant under Reidemeister moves.

Motivating goal: build computable knot invariants that shed light (= bound, and/or often compute!) invariants like  $c(K), u(K), br(K)$ .

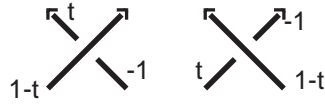
*n-colorability.* A knot diagram  $D$  consists of a collection of unbroken strands running from undercrossing to undercrossing. If  $D$  has  $k$  crossings, it has  $k$  strands. An  $n$ -coloring of  $D$  is a (non-constant) function from the strands of  $D$  to  $\mathbb{Z}_n$  so that, at each crossing, with the  $i$ -th strand crossing over (and the  $i$ -th and  $k$ -th ending), we have  $a_i + a_i = a_j + a_k \pmod n$ .  $n$ -colorability is invariant under R-moves, so defines a (2-valued ‘yes/no’) knot invariant.

These equations can be assembled into a matrix  $M$  with entries  $-1, 0, 2$ ; a knot is  $p$ -colorable ( $p$  prime) iff  $p$  divides the determinant of (any)  $(k-1) \times (k-1)$  minor of this matrix. We call the absolute value of this determinant the *determinant of the knot*  $K$ ; it is itself invariant under R-moves, so is a knot invariant. The number of diagonal entries divisible by  $p$  = the dimension of the solution space of  $p$ -colorings is also an invariant of  $K$ .  $\det(K)$  can be computed by putting the matrix into Smith normal form, by doing row and column operations over  $\mathbb{Z}$  on  $M$ . The resulting diagonal matrix will have a 0 on the diagonal.

$K$  is 2-colorable  $\Leftrightarrow K$  has more than one component. (So for a knot,  $\det(K)$  is odd.)

For  $K$  an alternating knot (with alternating diagram  $D$ ),  $\det(K)$  = the number of maximal trees in the ‘checkerboard’ graph  $\Gamma$  for  $D$ : 2-color the dual to  $D$ , pick a color to use as vertices, and add an edge b/w vertices if the two complementary regions of  $D$  the represent share a corner. This can make for much quicker computations of  $\det(K)$ , e.g., for an alternating pretzel knot  $K_{p,q,r}$ ,  $p, q, r > 0$ ,  $\det(K_{p,q,r}) = pq + pr + qr$ .

We showed the  $\det(K)$  was a knot invariant by actually showing that it was  $\Delta_K(-1)$  for another invariant, the Alexander polynomial  $\Delta_K(t)$ . If we orient  $K$ , then the crossings fall into two types, right-handed (positive) and left-handed (negative), distinguished, putting the arrows at the top, by the overstrand (SW-NE for +, SE-NW for -). Then we can refine the matrix  $M$  by using the entries in the figure below, yielding the *Alexander matrix*:



The determinant of (any)  $(k-1) \times (k-1)$  minor is a polynomial; it is invariant, up to multiples of  $\pm t^n$ , under R-moves, and is called the *Alexander polynomial*  $\Delta_K(t)$  of the oriented knot/link  $K$ . It is a remarkably good invariant, capable of distinguishing all but a few pairs of knots in the standard (through 10 crossings) knot tables. Reversing the orientation on all components has the effect of replacing  $t$  with  $t^{-1}$ .

*Alternate views.*  $n$ -colorings assign elements of  $\mathbb{Z}_n$  to strands of the knot diagram. This is really a group presentation in disguise:  $\pi_1(S^3 \setminus K)$  has a presentation, the Wirtinger presentation, with generators  $\leftrightarrow$  strands of  $K$  and relations  $\leftrightarrow$  crossings of  $K$ . Orient  $K$ , and draw an arrow (= generator) under each strand going right to left. At each crossing, the arrows match in pairs head-to-tail; setting the two length-two words (reading along the arrows) equal gives the relation corresponding to the crossing.

A presentation is good for building homomorphisms out of a group; we assign values to the generators and check to see if those assignments turn the relators into true statements in the target group. We have an assignment to the gens of  $\pi_1(S^3 \setminus K)$  in  $\mathbb{Z}_n$ , but the relations don't hold. But if we instead send the gen to the symmetry of the regular  $n$ -gon that is reflection in the line through the center that passes through the (cyclically ordered) vertex labeled by our element of  $\mathbb{Z}_n$ , then the relation is satisfied. So  $p$ -colorings correspond to (surjective) homoms  $\pi_1(S^3 \setminus K) \rightarrow D_{2p}$  (the dihedral group) sending Wirtinger generators to reflections.

The Alexander polynomial has its own interpretation in terms of  $G = \pi_1(S^3 \setminus K)$ .  $G$  has abelianization  $\mathbb{Z}^{|K|}$ ,  $|K|$  = the number of components of  $K$ , and an orientation on  $K$  then determines a more or less canonical map  $G \rightarrow \mathbb{Z}$ . The kernel of this map is a subgroup  $H \subseteq G$ , which by Galois correspondence corresponds to a covering space  $X$  of  $S^3 \setminus K$ .  $G/H = \mathbb{Z}$  acts on this space (by deck transformations) and so acts on  $H_1(X)$ , making this group a  $\mathbb{Z}[\mathbb{Z}]$ -module. (In group-theoretic terms,  $\mathbb{Z} = G_{ab}$  = the abelianization of  $G$ , so  $H = [G, G] = G' =$  the commutator subgroup, so  $H_1(X) = [G, G]_{ab} = G'/G''$ .) This module happens to be finitely-generated, so has a presentation matrix over  $\mathbb{Z}[\mathbb{Z}]$  = the Laurent polynomial ring in a variable  $t$ . The determinant of this presentation matrix is the Alexander polynomial (up to multiplication by  $\pm t^n$ ). This gives an answer to a basic question: When is  $\Delta_K(t) = 1$ ? Ans: when this matrix is invertible, i.e., when  $G' = G''$ , i.e., when  $G'$  is 'perfect'. (Unfortunately, there are non-trivial knots, e.g., Kinoshita-Terasaka, for which this is true...)

*Fox calculus.* The computation of  $\Delta_K(t)$  via the group can be carried out using Fox derivatives. Given a presentation, we take the derivative of a relator by accumulating terms in an (initially empty) sum as follows: reading  $r_j$  from left to right, each occurrence of the generator  $x_i$  occurs as  $r_j = ux_i v$  or  $r_j = ux_i^{-1} v$ . In the first case we add  $u$ , and in the second case we add  $-ux_i^{-1}$ . The resulting sum should be thought of as an element in the group ring of the free group  $F(X)$  generated by the symbols  $X$ , and is denoted  $\frac{\partial r_j}{\partial x_i}$ . The canonical homomorphism  $\psi$  from  $F(X)$  to  $G$  (sending each  $x_i$  to  $x_i$ ), followed by our chosen homomorphism  $\phi$  from  $G$  to  $\mathbb{Z} = \langle t \rangle$ , induces ring homomorphisms between their group rings; their composition sends each  $\frac{\partial r_j}{\partial x_i}$  to a (Laurent) polynomial in  $t$  with coefficients in  $\mathbb{Z}$ . These polynomials, when assembled into a 'Jacobian' matrix

$$J = \left( \frac{\partial r_j}{\partial x_i} \right)^{\psi\phi},$$

yields a matrix which depends upon the presentation for  $G$  chosen. But any two (finite) presentations of  $G$  can be transformed one to the other by Teitze transformations, and the effect on the Jacobian matrix under these moves can be codified. In particular, the resulting moves on the Jacobian do not change (for  $J$  an  $n \times m$  matrix) the *ideal (in  $\mathbb{Z}[\mathbb{Z}]$ ) generated by the  $(m-k) \times (m-k)$  minor determinants of  $J$* . Then taking the ideal  $I_1$  generated by the  $(m-1) \times (m-1)$  minors,  $I_1$  is principal, and a generator for  $I_1$  is the Alexander polynomial  $\Delta_K(t)$  (well defined up to multiplication by a unit  $\pm t^n$  in  $\mathbb{Z}[\mathbb{Z}]$ ).

The essential point is that any presentation, and values of the generators which yield the ‘canonical’ homomorphism to  $\mathbb{Z}$ , can be used to compute the Alexander polynomial. For example, the Dehn presentation, where the generators correspond to the complementary regions of a diagram and the relators again come from the crossings (but yield different relations!), can be used. Computing the Jacobian, we end up with a formulation which is identical to Alexander’s original approach. The  $(p,q)$  torus knots have a presentation  $\langle a, b | a^p = b^q \rangle$ , which yields a very quick computation of their Alexander polynomials, and a proof that  $K_{p,q} = K_{r,s} \Leftrightarrow \{|p|, |q|\} = \{|r|, |s|\}$ . 2-bridge knots have a presentation with 2 generators and 1 relator (by applying Teitze transformations to a Wirtinger presentation in ‘bridge position’); the Fox calculus then yields a quick computation of  $\Delta_K(t)$ .

Using Fox calculus and a pair of ‘dual’ presentations, Fox and Torres showed the most important property of  $\Delta_K(t)$ : up to multiplication by  $\pm t^n$ ,  $\Delta_K(t) = \Delta_K(t^{-1})$  (originally due to Seifert).

*Skein relations.* Together with  $\Delta_K(1) = \pm 1$  (from the original matrix version), these facts allow us to ‘normalize’ the Alexander polynomial; multiplication by an appropriate power yields  $\Delta_K(1) = \pm 1$  and  $\Delta_K(t) = \Delta_K(t^{-1})$ , and so  $\Delta_K(t) = \nabla_K(t^{1/2} - t^{-1/2}) = \nabla_K(z)$  for some polynomial  $\nabla$ .  $\nabla_K(z) =$  the (Alexander-)Conway polynomial. Conway anticipated (it took awhile for the world to appreciate) a new wave of knot invariants by showing that this normalized polynomial satisfies a *skein relation*: if three (oriented) diagrams differ at a single crossing, where we have a right-handed ( $K_+$ ), left-handed ( $K_-$ ) and no crossing ( $K_0$ ) [but the orientation is respected], then  $\nabla_{K_+}(z) - \nabla_{K_-}(z) = z\nabla_{K_0}(z)$ .

This identity was in fact anticipated in Alexander’s original work (but the lack of normalization made it difficult as a computational tool). The skein relation enables computation of Conway (and Alexander - it is essentially the same polynomial) polynomials by induction, since crossing changes lead to the unknot and crossing removals yield diagrams with fewer crossings! But more than that, it leads to effective proofs of properties of these polynomials by induction, as well. For example, every Laurent polynomial  $p$  satisfying  $p(1) = 1$  and  $p(t) = p(t^{-1})$  is the Alexander polynomial of some knot  $K$ . Also, if  $L$  is the mirror image of  $K$ , then  $\nabla_L(z) = \nabla_K(-z)$ .

Still more: the Alexander polynomial is invariant under *mutation*. If you can find a loop (think: 2-sphere in space, a disk above and below the projection plane) in the projection plane meeting the knot  $K$  in four points (separating the knot into two 2-tangles), then any rotation of one of the tangles (i.e., rotate the 3-ball) which brings the 4 points back to themselves without leaving any fixed yields a new knot (a *mutant* of  $K$ ), which has the same Alexander-Conway polynomial as  $K$ .

*The Jones revolution:* 1985 was a very big year for knot theory, and skein relations played an important role. In 1984 Vaughan Jones introduces (via operator algebras) a new Laurent polynomial knot invariant,  $V_L(t)$ . In the end, it can be defined by a skein relation;  $tV_{L_+}(t) - t^{-1}V_{L_-}(t) = (t^{1/2} - t^{-1/2})V_{L_0}(t)$  (together with the normalization  $V_O(t) = 1$  for  $O$ =unknot). [Note: the literature contains many minor but equivalent (under some change of variables) variations on this relation.]

Perhaps the most direct and combinatorial way to see that such a thing defines a knot invariant is via the Kauffman bracket  $\langle D \rangle$ , which is defined as a ‘state sum’. Starting with an unoriented knot diagram  $D$ , the vicinity of each crossing can be decorated the A- and B-‘channels’: with the overstrand running SW-NE, the top and bottom are A’s, and the two sides are B’s. We now form a sum over all states (= choice of A- and B- channel at each crossing), where a state yields the summand  $A^\#(A\text{-chans})B^\#(B\text{-chans})C^{s(D)-1}$ , where  $s(D) =$  the number of loops created when every crossing of  $D$  is removed and the ends are glued to make an open channel according to the state (‘clear’ the A- or B-channel at each crossing). This sum is  $\langle D \rangle$ .

Note that this could be thought of as an inductive computation as well: at a single crossing of the diagram  $D$ ,  $\langle D \rangle = A \langle \text{clear A-crossing} \rangle + B \langle \text{clear B-crossing} \rangle$ . Checking the effect of the Reidemeister moves on this state sum reveals that R2 and R3 leave it invariant, provided we set  $B = A^{-1}$  and  $C = -(A^2 + A^{-2})$ . But the R1 move will always multiply  $\langle D \rangle$  by  $(-A^3)^{\pm 1}$ , depending upon which R1 move is done. But by (temporarily!) orienting the knot and computing the *writhe*  $W(D) = \#(\text{positive crossings}) - \#(\text{negative crossings})$  [which is also invariant under R2 and R3], we find that  $J_K(A) = (-A^3)^{-w(D)} \langle D \rangle$  is invariant under all of the Reidemeister moves, and so defines a Laurent polynomial invariant.

We can, by comparing  $\langle D \rangle$  when we change a positive crossing to a negative one (essentially, interchange the A- and B-crossings), find a skein relation for  $P$ :  $A^4 J_{K_+}(A) - A^{-4} J_{K_-}(A) = (A^2 - A^{-2}) J_{K_0}(A)$ , and  $J_O(A) = 1$ . Comparison of this relation with the skein relation for  $V_K(t)$ , and induction, yields that  $V_K(t) = J_K(t^{1/4})$ . [Again, note that the literature contains many different formulations of this (again, equivalent under change of variable), with slightly different skein relations!]

Again, inductive arguments using the skein relation yields interesting results. If  $L$  is the mirror image of  $K$ , then  $J_L(A) = J_K(A^{-1})$ . Unlike the Alexander polynomial, however, this may not equal  $J_K(A)$ , allowing the Jones polynomial to often distinguish a knot from its mirror image (e.g., the trefoil knot). If you reverse all orientations of a link  $L$ , yields  $-L$ , the writhe is unchanged, so  $J_{-L}(A) = J_L(A)$ . Reversing the orientation on some components only changes the writhe, and so  $J_L(A)$  only changes by a power of  $A$ . [This is in contradistinction with the Alexander polynomial, where a partial reversal of orientation can have unexpected effects.]

A major open problem in knot theory is: does the Jones polynomial detect the unknot? I.e., if  $K$  is a knot and  $J_K(A) = 1$ , is  $K = \text{unknot}$ ? Neither the Jones nor the Alexander polynomials determine one another; there are knots that can be distinguished by one but not the other.

The Jones polynomial distinguished itself early on by being able to shed light on the crossing number of a knot. For any Laurent polynomial  $p$ , define the *span of  $p$*  to be the difference between the highest index of a non-zero coefficient and the lowest. Then for any link  $L$ , the span of  $J_K(A)$  is  $\leq 4c(K)$ . But Thistlethwaite, Murasugi, and Kauffman all showed that if  $L$  is an alternating link (i.e., possesses an alternating diagram), then this inequality is in fact an equality. Moreover, if  $L$  is *prime* (see below), and has no alternating projection, then the inequality is strict.

A knot is prime if it cannot be decomposed as a non-trivial connected sum. The connected sum  $K_1 \# K_2$  of two (oriented) knots  $K_1, K_2$  is obtained by drawing diagrams of the knots with two oppositely oriented strands side-by-side, and cutting and splicing the two strands together, respecting the orientation. A connected sum is trivial if one of the knots is the unknot (giving the other knot as the connected sum). Via skein relations, we can show that the Alexander and Jones polynomials of a connected sum is the product of the polynomials of the summands. This and the result above helps to motivate the (still open) conjecture:  $c(K_1 \# K_2) = c(K_1) + c(K_2)$ .

*HOMFLY/HOMFLYPT/MYTHFLOP/LYMPH-TOFU*. Fast on the heels of the Jones polynomial came a 2-variable generalization, which also generalizes the Alexander polynomial. In the end it can be defined by a skein relation [again, there are many equivalent formulations]

$$\ell P_{K_+}(\ell, m) + \ell^{-1} P_{K_-}(\ell, m) + m P_{K_0}(\ell, m) = 0$$

Proving this is a well-defined invariant is a very delicate induction on the ‘distance’ to a (well-decorated!) unlink, and showing that the result is invariant under the extra decorations. The Alexander polynomial and Jones polynomial can be recovered from  $P_K$  by substituting values for  $\ell$  and  $m$ , since their skein relations can be recovered that way.

Like the other polynomials, the skein relation gives us a way to establish properties by induction. For example, if  $L$  is  $K$  with the opposite orientation, then  $P_L(\ell, m) = P_K(\ell^{-1}, m)$ . The HOMFLYPT polynomial of a connected sum is the product of their polynomials. The the HOMFLYPT polynomial is invariant under mutation. But it is not a complete knot invariant: Kanenobu gave a family examples of pairs of inequivalent knots with the same HOMFLYPT polynomial. (The inequivalence comes from studying the Alexander matrix.)

Like the Jones polynomial, the highest and lowest degrees of each of the variables of  $P_K$  yields information about more classical invariants. Using the form of the polynomial with skein relation [at least in this case the different variants had the good sense to use different letters for their variables...]  $v^{-1}P_{K_+}(v, z) - vP_{K_-}(v, z) = zP_{K_0}(v, z)$ , we write the degree range in the  $v$  variable as  $e$  to  $E$  and the degree range in the  $z$  variable as  $m$  to  $M$  (low to high). For an oriented diagram  $D$  for  $K$ , we can remove all of the crossings while respecting the orientation, yielding a particular state for the diagram. The loops for this state are called the *Seifert circles* for  $D$  (for reasons coming later...), and we denote the number of them by  $s(D)$ . Recalling that  $w(D)$  denotes the writhe of the diagram, Morton showed that  $M \leq c(d) - s(D) + 1$  and

$$w(D) - (s(D) - 1) \leq e \leq E \leq w(D) + (s(D) - 1)$$

The latter inequality implies that the  $v$ -span of  $P_K = E - e \leq 2(s(D) - 1)$ .

This inequality becomes more interesting when paired with the result of Yamada. Alexander showed that every link can be expressed as the closure of a braid: a braid is a set of strands in  $I^2 \times I$  which run monotonically from the top of the box to the bottom. The closure matches the points at the top to the bottom, around the outside of the box, with no additional crossings. The minimum number of strands need to express a knot  $K$  as (the closure of) a braid is the *braid index*  $\beta(K)$ . Yamada showed that  $\beta(K)$  is equal to the minimum of  $s(D)$  over all diagrams of  $K$ , so Morton's inequality then becomes  $\beta(K) \geq (\text{span}_v P_K)/2$ . This inequality is currently the best way to determine the braid index of a knot; it is an equality with remarkable frequency.

Morton's other inequality is also an equality with remarkable frequency. It estimates the *canonical genus* of a knot. Given an oriented knot, the Seifert circles for the diagram can be joined together with half-twisted bands to create an oriented embedded surface  $\Sigma$  whose boundary is  $K$ , called a *Seifert surface* for  $K$ . [This algorithm for building a Seifert surface is called *SEifert's algorithm*.] The quantity  $s(D) - c(D)$  is the Euler characteristic of  $\Sigma$ , which (for a knot) is equal to  $1 - 2g(\Sigma)$ , where  $g(\Sigma)$  is its genus. So Morton's inequality translates to  $\max \deg_z P_K \leq 2g(\Sigma)$  for every surface for  $K$  built by Seifert's algorithm. The minimum of these genera is called the *canonical genus*  $g_c(K)$  of  $K$ , and is a knot invariant; Morton then gives a lower bound for  $g_c(K)$ , which is again an equality with remarkable frequency.

Side note: The span of the Alexander polynomial provides a lower bound for a similar knot invariant. The *genus* of  $K$ ,  $g(K)$ , is the minimum over all Seifert surface  $\Sigma$  for  $K$  (whether built by Seifert's algorithm or not...) of the genus of  $\Sigma$ . Seifert's approach to the Alexander polynomial (which we did not explore) involves using a pairing on the first homology of a Seifert surface, which ends up taking a determinant of a  $2g(\Sigma) \times 2g(\Sigma)$  matrix, yielding this  $\text{span} \Delta_K(t) \leq 2g(K)$ . Crowell showed that for alternating knots, this inequality is an equality.

It is a classical result of Seifert that  $g(K_1 \# K_2) = g(K_1) + g(K_2)$ , proved using a classical cut-and-paaste argument looking at the circles and arc of intersection of a genus-minimizing Seifert surface for the connected sum with the sphere defining the connected sum, and eliminating the circles of intersection.

*Vassiliev invariants.* Skein relations compare positive and negative crossing with the link obtained by erasing the crossing. But in some sense this erasure isn't what lies halfway between the two types of crossing; what does lie halfway is an actual crossing, i.e., a singularity. In 1990 Vassiliev initiated a study of knot invariants of such singular knots, as a way to understand invariants of embedded knots. As reformulated by Birman, Lin, Bar-Natan and others, this has a combinatorial formulation (Vassiliev's approach was more homological).

Given a knot invariant  $I$ , with values in an abelian group, We can extend the invariant to knots with a finite number of singularities (sometimes thought of as embeddings of rigid-vertex 4-valent graphs) by (inductively) breaking a singularity at  $p$  to a  $+$  and  $-$  crossing, and defining  $I(K_p) = I(K_{p+}) - I(K_{p-})$ .  $I$  is a *finite type* invariant of order  $m$  if the extension  $I$  is identically zero for any singular knot with more than  $m$  crossings.

Many finite type invariants can be extracted from the polynomial invariants we have built thus far. The coefficient  $c_i(K)$  of  $z^i$  in the Conway polynomial is an invariant of order  $\leq i$ , since from the skein relation  $I(K_p) = z(\text{something})$ , so by induction the Conway polynomial of a singular

knot with more than  $i$  double points will have trivial coefficients up to  $z^i$ . In a similar vein if we set  $t = e^x$  in the Jones's polynomial and then expand as a power series, each of the coefficients of  $\nabla_K(e_x)$  is a finite type invariant.

But many of the classical invariants are not finite type. Work of Dean and Trapp show that starting with a tangle  $T$  and summing with a tangle  $T_n$  consisting of  $n$  full twists in two strands, yielding a sequence of knots  $K_n$ , then for any invariant  $I$  of order  $k$ ,  $n \mapsto I(K_n)$  is a polynomial of degree at most  $k$ . Specific choices of tangle  $T$  then show that  $c(K), u(K), g_c(K), g(K), br(K)$ , and  $\beta(K)$  are not finite type, since their values do not behave like those of a polynomial.

A finite type invariant of order  $k$  is determined by a finite collection of data, called an *actuality table*. The idea behind this is that once we have  $k$  singularities, crossings in a diagram can be changed without changing the invariant, so all that really matters is the relative order around a circle (= the domain of a singular embedding (i.e., immersion!)) that the pairs of points corresponding to singularities occur. This can be codified using the idea of *chord diagrams*. Pairs of points mapping to a singularity can be codified by drawing a (straight) arc between the points. A singular knot with  $k$  double points then has  $k$  chords. A realization of a chord diagram is an immersion whose double points yield the diagram; all diagrams are realizable.

If a chord diagram has a chord that meets no other chord (it is 'isolated'), then it has a realization for which that singular point meets a vertical line in the projection plane that meets the immersion nowhere else. Turning this double point into  $+$  and  $-$  crossings yields the same knot/link, and so every finite type invariant takes the value 0 on that singular knot. This motivates our construction of the table.

For each chord diagram with  $\leq k$  chords, choose a specific realization of it, and record it and the value of  $I$  on that realization, with the proviso that we use the above realization (and value 0) for diagrams with an isolated chord. Then this information is enough to compute  $I$  for any singular knot. The proof is by induction, starting with the largest number of singularities. Knots with  $> k$  double points have invariant 0. Using the fact that two knots with the same chord diagram can be deformed on to the other (adding singularities to pass strands through one another) without moving any of the chords we started with,  $I$  on a diagram is determined by the value of the representative and the values of  $I$  on knots with larger number of singularities, which, by induction, are themselves determined by the data in the actuality table. So by, induction, we are done.

But the data in an actuality table, constructed at random, need not come from a finite type invariant; it might be inconsistent. Vassiliev showed that there is a set of 4-term relations (4T), which come from resolving the singularities of diagram which resembles a R3 move but two of the three crossings are singularities, which are satisfied by any finite type invariant. These can be translated into 4-term relations on the values assigned to representative of chord diagrams, which differ in the relative positions of pairs of chords in diagrams that are otherwise identical. Kontsevich showed, conversely, that an actuality table satisfying these 4-term relations defines a finite type invariant of order  $\leq k$ .

Finite type invariants have in recent years helped to understand several of the invariants we have looked at. For example, despite the fact that the Alexander and Jones polynomials do not determine the other, a 'colored' version of the Jones polynomial determines the coefficients of the Alexander polynomial; the (first) proof used finite type invariants to show this. There is a move on knot diagrams (in addition to the Reidemeister moves) which completely describes all pairs of knots that agree for all  $\mathbb{Z}$ -valued finite type invariants of order  $\leq n$ , called a 'clasper' move. The question of how many finite type invariants (taking values in the same abelian group) of order  $\leq n$  there are is a active subject of current research. [Such invariants form a module (think: vector space) under addition of values; the result above implies that it is finite-dimensional.]